

COPY OF PAPERS  
ORIGINALLY FILED

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/087,225

Filed: March 1, 2002

Inventors:

Ajay Kumar

Hanumanthu Rao Susarla

Prakash Khemani

Title: Object Mutation

Determination for Incremental

State Saves

Examiner: Unknown

Group/Art Unit: 2152

Atty. Dkt. No: 5681-12000

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on the date indicated below.

Robert C. Kowert

Printed Name

*[Signature]*

April 19, 2002

Signature

Date

**RESPONSE TO NOTICE TO FILE**  
**MISSING PARTS OF APPLICATION**

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

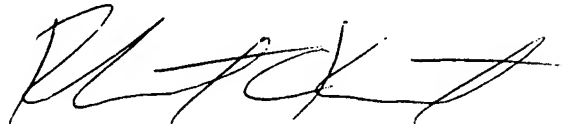
In response to the Notice to File Missing Parts of Application, mailed March 28, 2002, there is enclosed herewith:

- ☒ An Assignment of the invention to Sun Microsystems, Inc.
- ☒ A combined Declaration and Power of Attorney executed by the inventor(s)

- ☒ A Fee Authorization Form for \$1,474.00 to cover:
  - filing fee for large entity (\$740.00)
  - surcharge for late filing of oath or declaration for large entity (\$130.00)
  - assignment fee (\$40.00)
  - extra independent claims (\$168.00)
  - extra dependent claims (\$396.00)
- ☒ A copy of Notice to File Missing Parts of Application Filing Date Granted
- ☒ A return postcard to acknowledge receipt of these materials. Please stamp and return this postcard to the undersigned.

If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Conley, Rose & Tayon, P.C. Deposit Account Number 501505/5681-12000RCK.

Respectfully submitted,



Robert C. Kowert  
Reg. No. 39,255  
Attorney for Applicants

Conley, Rose & Tayon, P.C.  
P.O. Box 398  
Austin, Texas 78767-0398  
Ph: (512) 476-1400

Date: April 19, 2002



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April 19, 2002

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Date

**FEE AUTHORIZATION**

Commissioner for Patents  
Washington, D.C. 20231

The Commissioner is hereby authorized to charge the following fee to Conley, Rose & Tayon, P.C. Deposit Account Number 501505/5681-12000/RCK:

Fee: Filing Fee Amount: \$740.00

Attorney Docket No.: 5681-12000

Fee: Miss. Parts Fee Amount: \$130.00

Attorney Docket No.: 5681-12000

Fee: Excess Claims Amount: \$564.00

Attorney Docket No.: 5681-12000

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number. If the abovementioned account is found to have insufficient funds, the Commissioner is authorized to charge Conley, Rose & Tayon, P.C. Deposit Account Number 501623/5681-12000/RCK.

Respectfully submitted,

*[Signature]*  
Robert C. Kowert  
Reg. No. 39,255

Conley, Rose & Tayon, P.C.  
P.O. Box 398  
Austin, TX 78767-0398  
(512) 476-1400  
Date: April 19, 2002

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USPTO MAIL CENTER

CONLEY, ROSE & TAYON

A PROFESSIONAL CORPORATION  
THE CHASE BUILDING  
700 LAVACA, SUITE 800  
AUSTIN, TEXAS 78701-3102

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Washington, D.C. 20231

5081-12060



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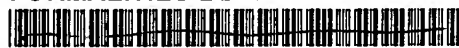
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/087,225	03/01/2002	Ajay Kumar	5681-12000

CONFIRMATION NO. 6931

## FORMALITIES LETTER



\*OC000000007737939\*

Robert C. Kowert  
Conley, Rose, & Tayon, P.C.  
P.O. Box 398  
Austin, TX 78767

APR 2 2002

Date Mailed: 03/28/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/02/2002 BAERAAH1 00000020 501505 10087234

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 CH
02 FC:105	130.00 CH
03 FC:103	396.00 CH
04 FC:102	168.00 CH

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$564.
  - \$396 for 22 total claims over 20.
  - \$168 for 2 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1434.**

05/02/2002 BAERAAH1 00000021 501505 10087225

01 FC:101	740.00 CH
02 FC:105	130.00 CH
03 FC:103	396.00 CH
04 FC:102	168.00 CH

*A copy of this notice **MUST** be returned with the reply.*Haimanot Teybaru  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

DOCKETED

BY: \_\_\_\_\_

DATE: 4/2